Sponsored Programs
New Developments
and
Important Reminders

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Office of Sponsored Programs
January 16, 2019

Training Session Overview

➢ NSF Updates
➢ NIH Updates
➢ Federal Update
➢ OSP Updates
➢ Q & A

NSF Updates

NSF Proposal & Award Policies and Procedures Guide (PAPPG) NSF 19-1

NSF Proposal & Award Policies and Procedures Guide (PAPPG)

➢ NSF 19-1 effective 1/28/2019

➢ Effective for proposals submitted or due on or after 1/28/2019.

➢ Slides and video from NSF PAPPG Webinar on 11/27/2018: https://nsfgrantsconferences.com/pappg-webinar-19/.

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NSF PAPPG Notable Changes & Clarifications

➢ Proposal Submission via Research.gov
  ▪ Research.gov is an alternative to NSF FastLane and Grants.gov proposal submission.
  ▪ Can be used for full, research non-collaborative proposals.
  ▪ On-screen instructions in Research.gov must be followed when different than PAPPG.

➢ Project Description – Proposed Subawards
  ▪ The description of the work to be performed by the proposed subrecipient must be included in the project description.

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NSF PAPPG Notable Changes & Clarifications

➢ Proposals with international subrecipients or consultants
- NSF rarely provides funding support to foreign organizations.
- In cases where the proposer considers the foreign organization's involvement to be essential to the project (e.g., through subawards or consultant arrangements), the proposer must explain why local support is not feasible and why the foreign organization can carry out the activity more effectively.
- In addition, the proposed activity must demonstrate how one or more of the following conditions have been met:
  - The foreign organization contributes a unique organization, facilities, geographic location and/or access to unique data resources not generally available to U.S. investigators (or which would require significant effort or time to duplicate) or other resources that are essential to the success of the proposed project; and/or
  - The foreign organization to be supported offers significant science and engineering education, training or research opportunities to the U.S.
- Such information must be included in the project description section of the proposal.
- The box for "Funding of a Foreign Organization, including through use of a subaward or consultant arrangement" must be checked on the Cover Sheet.

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NSF PAPPG Notable Changes & Clarifications

➢ Proposal Font Size
- Arial **(not Arial Narrow)**, Courier New, or Palatino Linotype at a font size of 10 points or larger;
  - Macintosh users also may use Helvetica and Palatino fonts.
- Times New Roman at a font size of 11 points or larger; or
- Computer Modern family of fonts at a font size of 11 points or larger.
- A font size of less than 10 points may be used for mathematical formulas or equations, figures, tables or diagram captions and when using a Symbol font to insert Greek letters or special characters. **Other fonts not specified above, such as Cambria Math, may be used for mathematical formulas, equations, or when inserting Greek letters or special characters. PIs are cautioned, however, that the text must still be readable.**
- These requirements apply to all uploaded sections of a proposal, including supplementary documentation.

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NSF PAPPG Notable Changes & Clarifications

 ➢ Participant Support Costs (PSC)
  ▪ Budget section expanded for clarification
  ▪ Speakers and trainers generally are not considered PSC
   · if the primary purpose of the individual's attendance at the conference is learning and receiving training as a participant, then the costs may be included under participant support.
   · if the primary purpose is to speak or assist with management of the conference, then such costs should be budgeted under Consultant Services.
  ▪ Costs to cover room rental fees, catering costs, supplies, etc. are not PSC.
  ▪ This section is not used for incentive payments to research subjects. Human subject payments should be included on line G6 of the NSF budget under "Other Direct Costs,"

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NSF PAPPG Notable Changes & Clarifications

 ➢ Biosketch – Synergistic Activities
  ▪ A list of up to five **distinct** examples that demonstrate the broader impact of the individual's professional and scholarly activities that focuses on the integration and transfer of knowledge as well as its creation.
  ▪ Examples with multiple components are not permitted.

 ➢ Submission of Final Project Report and Project Outcomes Report
  ▪ By submitting either report, the PI is signifying that the scope of work for the project has been completed and that he/she does not anticipate that any further research activities (including a no-cost extension, supplemental funding, or transfer of the grant) need to be completed on the project.

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NSF PAPPG Notable Changes & Clarifications

➢ Conference Proposals
  ▪ Proposers are required to have a policy or code-of-conduct that addresses sexual harassment, other forms of harassment, and sexual assault, and that includes clear and accessible means of reporting violations of the policy or code-of-conduct. This policy or code-of-conduct must be disseminated to conference participants prior to attendance at the conference as well as made available at the conference itself.
  ▪ Proposals in excess of $50,000 must include Collaborators & Other Affiliations Information

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NSF PAPPG Notable Changes & Clarifications

➢ Responsible Conduct of Research (RCR)
  ▪ The award terms and conditions require that LSU provide training in the responsible and ethical conduct of research to all undergraduate students, graduate students and postdocs supported by NSF.
    ▪ This is done via the Collaborative Institutional Training Initiative (CITI)
  ▪ NSF now encourages training of faculty in the responsible and ethical conduct of research.

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NSF Policy on Sexual Harassment and Other Forms of Harassment

➢ Important Notice 144
  ▪ NSF will not tolerate sexual harassment, or any kind of harassment, within the agency, at grantee organizations, field sites, or anywhere NSF-funded science and education are conducted.
  ▪ NSF is committed to promoting safe, productive research and education environments for current and future scientists and engineers.
  ▪ The PI and co-PI and all grant personnel must comport themselves in a responsible and accountable manner, including during the performance of award activities conducted outside the organization, such as at field sites or facilities, or during conferences and workshops.

Source: Jean Feldman, Head, NSF Policy Office, NSF Proposal & Award Policy Update webinar, 11/27/2018

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NSF Policy on Sexual Harassment and Other Forms of Harassment

➢ New award term and condition applies to all new awards and funding amendments issued on or after 10/22/2018

➢ Requires the AOR to notify NSF:
  1) of any findings/determinations regarding the PI/PD or co-PI/co-PD that demonstrate a violation of awardee codes of conduct, policies, regulations or statutes relating to sexual harassment, other forms of harassment, or sexual assault; or
  2) if the awardee places the PI/PD, or co-PI/co-PD on administrative leave or imposes an administrative action relating to a finding or investigation of a violation of awardee policies, codes of conduct, statutes or regulations relating to sexual harassment, other forms of harassment, or sexual assault.

Source: Jean Feldman, Head, NSF Policy Office, NSF Proposal & Award Policy Update webinar, 11/27/2018

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NIH Updates

NIH Harassment and Discrimination Protections in NIH Training Applications

➢ NOT-OD-19-029

➢ Applies to Institutional training grant applications (T15, T32, T34, T35, T36, T37, T90/R90, TL1, TL4) submitted for due dates on or after 1/25/2019

➢ Proposal must include a letter that describes the institutional commitment to ensuring that proper policies, procedures, and oversight are in place to prevent discriminatory harassment and other discriminatory practices.
- Letter also to include a description of the applicant institution's commitment to the planned program in order to ensure its success (e.g. providing facilities, appropriate inter- or multidisciplinary research training opportunities and courses, etc.)
- OSP will coordinate getting the letter signed by the Provost. Notify OSP early in the proposal process.
- Included with other Letters of Support on the PHS 398 Research Training Program Plan form.

Federal Update

Partial Federal Government Shutdown

➢ Agency contingency plans are currently posted at: https://www.whitehouse.gov/omb/information-for-agencies/agency-contingency-plans/.

➢ OSP News and Announcements page with links to specific agency notices: https://www.lsu.edu/osp/news-announcements/2019/2019_governmentshutdown.php

➢ OSP and SPA will forward any project specific notices to affected PI and administrator.

➢ If you receive any shutdown-related correspondence directly from the sponsor (e.g., stop work order), please immediately provide the information to OSP and SPA.

Partial Federal Government Shutdown

> Based on prior shutdowns, we anticipate the following:

1) <u>Proposal Submittals</u>: Generally speaking, Grants.gov will be maintained by the agencies so that grants can be submitted. They will be held in the queue and processed after the shutdown is complete. Proposal deadlines may be impacted.

2) <u>New Awards</u>: New awards are likely to be delayed until after the shutdown is over. Be very cautious about spending funds before an award document is received. All expenditures must occur in the period of performance.

3) <u>Routine Administration of Grants and Contracts by the Agencies</u>: It is expected that requests for re-budgeting, no cost extensions, award continuations, and other action decisions may not be processed or will be significantly slowed down.

4) <u>Availability of Funds</u>: LSU may not be able to draw down or invoice for some federal projects.

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OSP Updates

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Proposal Toolkit

- Offers a wide and expanding range of templates, reference materials, and resources for proposal submission for faculty and units.
- Includes:
  - LSU Generic Budget and Budget Justification
  - LSU Financial Statement
  - LSU's History
  - LSU Boilerplate language on type of institution and facts
  - LSU Mission Statement
  - Links to other useful LSU information
  - NIH templates
  - NSF templates
- New additions:
  - Department of Energy templates
  - Sample Grants.gov application package
  - NIH ASSIST sample application
- Coming Soon:
  - NASA templates

SPS Cover Page certifications

- Investigators should be answering compliance questions on cover sheet as they are most familiar with the proposal.

- When departmental grant coordinators are creating SPS proposals, OSP recommends that the coordinator obtain PI confirmation on the Yes/No questions.

InfoEd Implementation

- SPS replacement

- Provides support for pre-award, post-award reporting, and compliance

- Proposal Preparation and Review

- System to System (S2S) Grants.gov submissions

- Award and award modification documents will be stored in system.

- Expanded reported

- Compliance modules for:
  - Conflict of Interest
  - Human Subjects
  - Lab Animals

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InfoEd Implementation

- Conflict of Interest Module
  - Significant Financial Interest Disclosures (including travel)
  - PM-11 – Disclosure of Outside Employment
  - Estimated March 2019

- Sponsored Programs Module
  - On-site held January 8-10, 2019
  - Estimated July 2019

- Human Subjects Module
  - Estimated November 2019

- Lab Animals Module
  - Estimated May 2020

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OSP Spring 2019 Trainings

- Introduction to Sponsored Programs
  - February 5th, 9-10:30am
  - April 2nd, 9-10:30am
  - Register at http://training.lsu.edu

- Email Gina Billiot (glarpe1@lsu.edu) to schedule one-on-one training for the following:
  - Sponsored Program System (SPS)
  - Grants.gov Workspace
  - NIH ASSIST

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Questions

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OSP Contact Information

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